



**The University of New Mexico, Anderson Schools of Management  
570 Financial Markets and Institutions,  
Fall 2014 Course Syllabus**

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Office Hours:	By Appointment	Classroom:	Anderson 1017
Class Times:	Mondays 7p-9:30p		
UNM Learn will be used to post all material for this course:	Class participation required to obtain assignments & evaluation of peers		

**BOOK, COURSE CONTENT AND OBJECTIVES**

Financial Markets and Institutions, by Frederic S. Mishkin and Stanley G. Eakins, Seventh Edition ISBN 978-0-13-213683-9 published by Prentice Hall

Various Case Studies – University of Virginia Darden School of Business

Management 570 – Financial Markets and Institutions  
Analysis of the financial system—capital markets, financial instruments and institutions, and regulatory agencies—in which both financial and nonfinancial firms operate. The demand for, and supply of, credit and capital, study of the mechanisms of monetary adjustment, interest rate determination and the role of liquidity in risk management.

Chapter Reviews, Case studies and projects are used in a variety of types of organizations to develop the capacity for administrative decision making employing strategic and operational planning and control. Prerequisites: Mgt 526.

If you are a qualified person with disabilities who might need appropriate academic adjustments, please communicate with me as soon as possible so that we may make appropriate arrangements to meet your needs in a timely manner. Frequently, we [faculty and staff at UNM] will need to coordinate accommodating activities with other offices on campus. Course materials can be made available in alternative formats.

**GRADING:**

The course grading will rely on an individual paper, a group project with presentation, peer evaluations of the group project and a final examination, and group discussion. The weighting of papers, projects and examination are as follows:

Assignment	Weighting	Due Date
Chapter Assignments	10%	Weekly as assigned
Case Study One	20%	September 22, 2014
Case Study Two	20%	November 3, 2014
Final Group Project & Presentation	30%	November 24 and Dec. 1, 2014

Peer Evaluations	10%	November 24 and Dec. 1, 2014
Attendance	10%	Weekly

**All papers are due at the beginning of class on the respective due date without exception. The beginning of class for these due dates will be defined as 7:00-7:15 pm. Failure to turn in a paper at the noted time will result in a zero grade for that paper.**

**Chapter Assignments:**

The text has a number of internet based exercises. Specific exercises will be randomly assigned throughout the semester. There will be no make up for these exercises so it will be your responsibility to determine if an exercise has been assigned for the following week. Assignments will be posted on UNM Learn when announced.

**Case Studies:**

There will be two case studies that will be completed in teams of two. Each team must make a selection from the case studies noted in the Course Outline and provide me with an e-mail notification of their selection by end of class on Monday September 1st. No more than two teams can select the same case study. Selections will be made on a first come first served basis. All selections must be e-mailed to me at [dgarci57@unm.edu](mailto:dgarci57@unm.edu). Minimum 10-15 pages double spaced, Arial 11 font, double spaced, charts and one inch margins. All graphics, charts and pictures should be included as an appendix and DO NOT count toward the total page requirement. All footnotes should be provided at the end of the page and not at the bottom of each page. Papers shorter than the required length will automatically lose one letter grade.

**Group Papers:**

Maximum four individuals per team, each team must select one of the group project topics by the close of class Monday September 8, 2014 and report their choice to via e-mail. No two groups can select the same topic. Assignments of topics will be first come first served. All papers must be a minimum 35 pages, double spaced, using Arial 11 font, charts and one inch margins. Please note groups with five individuals will be responsible for a paper of 40 pages in length. All graphics, charts and pictures should be included as an appendix and DO NOT count toward the total page requirement. All footnotes should be provided at the end of the page and not at the bottom of each page. Papers shorter than the required length will automatically lose one letter grade.

**Team Presentations:**

Team presentations, to include a Power Point visuals and appropriate handouts, will begin the two weeks before finals week and ALL papers will be due on Monday November 24, 2014. All team presentations will be evaluated by the groups in the class using the attached evaluation sheet. Dress will be business formal for these presentations. Each group will be graded by the teams not presenting. A formal appraisal form will be distributed before presentations begin.

**Presentation Time Expectation:**

Final Group Project: 40-45 minutes.

**Group Credit:**

Credit will be given to all team members with the assumption that they have contributed equally on group projects. If a team determines that one or more individual(s) have not contributed equally the team can eliminate those team member(s) names on the final written document, eliminated team members will receive ZERO credit for the final project and essentially fail the course. All eliminated team members must acknowledge that they have been informed of the team's decision to remove them. The acknowledgment form can be requested obtained via e-mail.

**Peer Group Evaluations:**

**ALL** individual team members will be required to submit an individual evaluation for each presentation. The average of these individual evaluations will serve as the score for each presenting team. If an individual fails to submit an evaluation for EACH of the other teams presenting the team with the individual(s) that do not participate will lose 10% of their presentation score per individual that fails to participate in the peer group evaluations.

**Attendance:**

Due to the nature of the material covered, the frequency of the class per week, and the discussion that will surround each session; it is recommended that students attend all class sessions. That said if you will need to miss two or more classes during the semester please review your situation with me as-soon-as possible. It should be noted that role will be taken weekly and attendance is 10% of your total grade. All absences will be weighted the same relative to the attendance calculation.

**UNM Learn:**

UNM Learn will be used to post all material for this class. To access this specific course the URL is <https://learn.unm.edu/>.

**Drop Policy:**

This course falls under all UNM policies for the last day to drop courses, etc. Please see <http://www.unm.edu/studentinfo.html> or the [UNM Course Catalog](#) for information on UNM services and policies. Please see the [UNM academic calendar](#) for course dates, the last day to drop courses without penalty, and for financial disenrollment dates.

**Other issues:**

During class, all students are expected to behave in a way consistent with the values and rules of the Student Code of Conduct. Any violation of the Student Code of Conduct will be taken seriously and appropriate sanctions will be applied. Violations include; plagiarism, exam misconduct, threatening fellow students or instructors, etc. Please refer to the UNM Pathfinder for additional information.

It is expected that students will respect others by not using communication devices during class. These include cellular phones, pagers, text or instant messaging, twitter, sidekicks, blueberries, i-phones, i-pads, tables, etc.

For reference purposes the full UNM academic schedule is attached for your use, please note this is only for reference please check with the Registrars office to confirm all dates noted.

## **Fall Semester 2014**

### **Academic Calendars - Registration**

#### **Start Date and Time Event Details**

8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Enrollment Cancellation Due to Lack of Financial Commitment</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Classes Begin</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Add or Change Sections</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Change Grade Mode (LoboWeb)</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Labor Day</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Drop with 100% Refund</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Change Grade Mode (in person)</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Fall Break</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Fall Break</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Drop without Dean's Permission</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Thanksgiving</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Thanksgiving</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Thanksgiving</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>University Holiday: Thanksgiving</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Spring 2015 - Registration opens by appointment (all courses)</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Drop with Dean's Permission</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Commencement</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Last Day to Report Removal of Incomplete</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Classes End</u></a>
8:00 AM - 5:00 PM	<a href="#"><u>Fall 2014 - Finals Week</u></a>