



**Investment Analysis and Management: MGMT 471
Spring 2015 – Tentative Syllabus***

*** I reserve the right to appropriately modify the syllabus if necessary.**

Class Time: Monday and Wednesday 5:30 – 6:45 PM.

Class Room: GSM 232

Instructor: Dr. Subramanian R. Iyer

Office: ASM 2010

Office Hours: Monday & Wednesday- 10:00 AM – 11:30 AM & 1:00 – 2:30 PM.

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Phone: 505-277-3207

1. Course Overview and Objectives

This course aims to provide an introduction to various financial asset classes and to the theory and techniques that limit investment risks and optimization of investment returns.

2. Pre-requisite

MGMT 326.

3. Textbook & Assignment Management

I will be using “ESSENTIALS OF INVESTMENTS – Bodie, Kane, and Marcus – 9th edition” textbook along with McGraw-Hill Connect. Access to McGraw-Hill connect is mandatory. The book store provides different options to access the text book and McGraw-Hill Connect. At the end each of chapter assignments will be listed on Connect. You will have two attempts for each assignment in Connect. Once you have obtained access to McGraw Hill Connect please register yourself to my class. The web address is as follows - http://connect.mheducation.com/class/s-iyer-unm_mgmt_471_sp2015

4. Class Participation

I believe attendance is critical. I will take attendance. We will discuss many important financial issues of the day. I expect you to know about those issues. The Wall Street Journal is good source of information. If you have seen anything interesting please bring them up in class. All of our discussions in class could be included for a test.

A subscription to the Wall Street Journal is strongly recommended. Print edition of The Wall Street Journal is also available at the Parish Library.

5. Project

There will be four project reports. I will assign the topics as we complete each part of the course.

6. Exams

There will be a midterm and a final exam. Dates for the midterm exam will be announced at least two weeks in advance.

Midterm Exam – TBA

Final Exam – 12/09/2015 5:30 PM – 7:30 PM

Please do not request a different time for the final exam. Since you know the time please do not schedule any other events on during that time.

7. Grading

Points for each item are as follows -

Midterm Exam	200 points
Final Exam	250 points
Connect Based Assignments	250 points
Class Participation	100 points
• Includes attendance and class involvement	
Projects	200 points
• Four projects each worth 50 points	

Letter grades are assigned using the above scheme and the following cut-off points:

The cutoffs for final course letter grades are:

90-100%.....	A
80-89%.....	B
70-79%.....	C
60-69%.....	D
< 59%.....	F

I will consider rounding up the final average for those close to the cutoff for the next higher grade, in which the decision will be based on the trend in the student's grades throughout the semester, the clustering of student averages, and my assessment of the overall effort of the student.

8. Extra Credit

There isn't any.

9. Students with Special Needs

If you are a qualified person with disabilities needing appropriate accommodation, please get in touch with me at the beginning of the semester. Alternatively, you may also contact the [Student Accessibility Center](#).

10. Academic Dishonesty

“I hope I shall possess firmness and virtue enough to maintain what I consider the most enviable of all titles, the character of an honest man.” – George Washington (1732 – 1799), First President of the United States of America

Anderson School of Management faculty, staff and students commit to values of trust, honesty, integrity, and accountability. We will not tolerate academic dishonesty. By enrolling in any course at Anderson, the student accepts the Anderson Academic Honesty Code and affirms the following pledge:

I will not lie, cheat, fabricate, plagiarize or use any other dishonest means to gain unfair academic advantage.

Any violation of the code of conduct will be taken very seriously and appropriate sanctions will be applied. FOR FULL TEXT OF ANDERSON’S ACADEMIC HONESTY CODE, please visit <http://www.mgt.unm.edu/honesty>

11. Classroom Etiquette

- There is **no eating in class**, though soft drinks (please open the bottles or cans before class starts), or coffee, are allowed.
- Do not place your foot on the chair or table next to you. It is utterly disrespectful.
- **There is no laptop, i-pad or other hand-held electronic device use in class.**
- **Turn off your cell phones** before class begins and leave them off. This also means **no text messaging** during class. Violation will result in dismissal for that class period.
- If you leave class to talk on your cell phone, or leave for any other reason without permission, you are **not** allowed to return to class.
- Show up to class on time. I believe that punctuality is essential. Please do not disrupt the class rhythm by showing up late. Do not leave the class without informing me in advance with a genuine reason, you will lose attendance credit for that session. Requests for consistent late arrival (early departure) to (from) class due to any reason will not be entertained.
- **Be considerate of your classmates and your instructor.**
- All discourse with your fellow students and the instructor **must be** respectful and civil.
- Disruptive students will be asked to leave class.
- You should **not** be talking amongst yourselves during class unless it is short-lived and it pertains to class material.

12. Tentative List of Chapters

Chapter #	Chapter Name
Part I - Introduction	
1	Investment Environment
2	Asset Classes and Financial Instruments
3	Securities Markets
12	Macroeconomic and Industry Analysis
Part II - Investment Classes	
4	Mutual Fund and Other Investment Companies
10	Bond Prices and Yields
14	Financial Statement Analysis
13	Equity Valuation and Technical Analysis
15	Options and Put Call Parity
17	Futures
Part III-Modern Portfolio Theory	
5	Risk and Return: Past and Prologue
6	Efficient Diversification
7	CAPM and APT
8	Efficient Market Hypothesis