



**The University of New Mexico, Anderson Schools of Management
570 Financial Markets and Institutions,
Fall 2015 Course Syllabus**

Instructor:	Dennis L Garcia	E-mail:	dgarci57@unm.edu
Office:	N/A	Phone:	505-450-4781
Office Hours:	By Appointment	Classroom:	GSM 117
Class Times:	Mondays 7p-9:30p		
UNM Learn will be used to post all material for this course:	Class participation required to obtain assignments & evaluation of peers		

BOOK, COURSE CONTENT AND OBJECTIVES

Financial Markets and Institutions, by Frederic S. Mishkin and Stanley G. Eakins, Seventh Edition ISBN 978-0-13-213683-9 published by Prentice Hall

Management 570 – Financial Markets and Institutions
Analysis of the financial system—capital markets, financial instruments and institutions, and regulatory agencies—in which both financial and nonfinancial firms operate. The demand for, and supply of, credit and capital, study of the mechanisms of monetary adjustment, interest rate determination and the role of liquidity in risk management.

Chapter Reviews, Case studies and projects are used in a variety of types of organizations to develop the capacity for administrative decision making employing strategic and operational planning and control. Prerequisites: Mgt 526.

If you are a qualified person with disabilities who might need appropriate academic adjustments, please communicate with me as soon as possible so that we may make appropriate arrangements to meet your needs in a timely manner. Frequently, we [faculty and staff at UNM] will need to coordinate accommodating activities with other offices on campus. Course materials can be made available in alternative formats.

GRADING:

The course grading will rely on chapter assignments, a team paper, a team project with presentation, peer evaluations of the group project, and group discussion/attendance. The weighting of papers, projects and participation are as follows:

Assignment	Weighting	Due Date
Chapter Assignments	20%	Weekly as assigned
Papers on Oil and Central Banks	25%	October 12 2015
Final Group Project & Presentation	35%	November 23 and 30, 2015
Peer Evaluations	10%	November 23 and 30, 2015
Attendance	10%	Weekly

All papers are due at the beginning of class on the respective due date without exception. The beginning of class for these due dates will be defined as 7:00-7:15 pm. Failure to turn in a paper at the noted time will result in a zero grade for that paper.

Chapter Assignments:

The text has a number of exercises. Specific exercises are noted in the class outline but are subject to change during the semester. There will be no make up for these exercises so it will be your responsibility to determine if an exercise has been assigned for the following week. Assignments **MUST** be submitted in hard copy on the due date; electronic submissions **WILL NOT** be accepted. Late submissions will not be accepted.

Case Studies:

This semester there will be one case study that will be completed in teams of two. The case will be a review of the petroleum industry and its impact on the global economy. Detail will be provided on a separate handout. You will need to select a team member and e-mail me your team selections by the end of class on August 17 at the following email address, dgarci57@unm.edu. Your paper must be a minimum 15-20 pages double spaced, Arial 11 font, with a one inch margins. All graphics, charts and pictures should be included as an appendix and **DO NOT** count toward the total page requirement. All footnotes should be provided at the end of the document and not at the bottom of each page. Papers shorter than the required length will automatically lose one letter grade. **Failure to follow these format requirements will result in at a minimum of a one grade reduction.**

Group Papers:

Maximum two individuals per team, each team must select one of the group project topics by the close of class Monday August 31st and report their choice to via e-mail. No two groups can select the same topic. Assignments of topics will be first come first served. All papers must be a minimum 35 pages, double spaced, Arial 11 font, with a one inch margins. All graphics, charts and pictures should be included as an appendix and **DO NOT** count toward the total page requirement. All footnotes should be provided at the end of the document and not at the bottom of each page. Papers shorter than the required length will automatically lose one letter grade. **Failure to follow these format requirements will result in at a minimum of a one grade reduction.**

Team Presentations:

Team presentations, to include a Power Point visuals and appropriate handouts, will begin the two weeks before finals week and **ALL** papers will be due on Monday November 23rd. All team presentations will be evaluated by the groups in the class using an evaluation sheet to be distributed before your presentation. Dress for these presentations will be business formal. Each group will be graded by the teams not presenting. **Failure to follow the dress requirements by the entire team will result in a one grade reduction for your paper.**

Presentation Time Expectation:

Final Group Project: 40-45 minutes.

Group Credit: (Should not apply this semester)

Credit will be given to all team members with the assumption that they have contributed equally on group projects. If a team determines that one or more individual(s) have not contributed equally the team can eliminate those team member(s) names on the final written document, eliminated team members will receive ZERO credit for the final project and essentially fail the course. All eliminated team members must acknowledge that they have been informed of the team's decision to remove them. The acknowledgment form can be requested obtained via e-mail.

Peer Group Evaluations:

ALL individual team members will be required to submit an individual evaluation for each presentation. The average of these individual evaluations will serve as the score for each presenting team. If an individual fails to submit an evaluation for EACH of the other teams presenting the team with the individual(s) that do not participate will lose 10% of their presentation score per individual that fails to participate in the peer group evaluations.

Attendance:

Due to the nature of the material covered, the frequency of the class per week, and the discussion that will surround each session; it is recommended that students attend all class sessions. That said if you will need to miss two or more classes during the semester please review your situation with me as-soon-as possible. It should be noted that role will be taken weekly and attendance is 10% of your total grade. All absences will be weighted the same relative to the attendance calculation.

UNM Learn:

UNM Learn will be used to post all material for this class. To access this specific course the URL is <https://learn.unm.edu/>.

Drop Policy:

This course falls under all UNM policies for the last day to drop courses, etc. Please see <http://www.unm.edu/studentinfo.html> or the [UNM Course Catalog](#) for information on UNM services and policies. Please see the [UNM academic calendar](#) for course dates, the last day to drop courses without penalty, and for financial disenrollment dates.

Other issues:

During class, all students are expected to behave in a way consistent with the values and rules of the Student Code of Conduct. Any violation of the Student Code of Conduct will be taken seriously and appropriate sanctions will be applied. Violations include; plagiarism, exam misconduct, threatening fellow students or instructors, etc. Please refer to the UNM Pathfinder for additional information.

It is expected that students will respect others by not using communication devices during class. These include cellular phones, pagers, text or instant messaging, twitter, sidekicks, blueberries, i-phones, i-pads, tables, etc.

For reference purposes the full UNM academic schedule is attached for your use, please note this is only for reference please check with the Registrar's office to confirm all dates noted.

